



#9

PATENTS
CF/002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Stuart A. Fraser et al.
Application No. : 09/553,423 Confirmation No. : 3987
Filed : April 19, 2000
For : SYSTEMS AND METHODS FOR TRADING
Group Art Unit : 3628
Examiner : Harish T. Dass

New York, New York
July 31, 2003

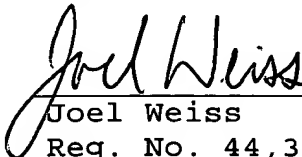
Hon. Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUBMISSION OF FORMAL DRAWINGS

Sir:

Pursuant to 37 C.F.R. § 1.85, applicants herewith
furnish thirty-two (32) sheets of formal drawings
(Figs. 1-25b), to be substituted for the thirty-two sheets of
informal drawings filed on April 19, 2000.

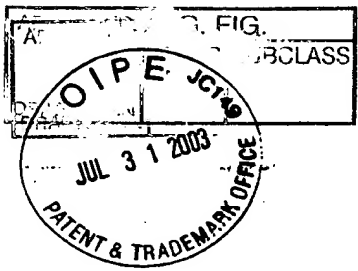
Respectfully submitted,



Joel Weiss

Reg. No. 44,398
Attorney for Applicants
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1/32

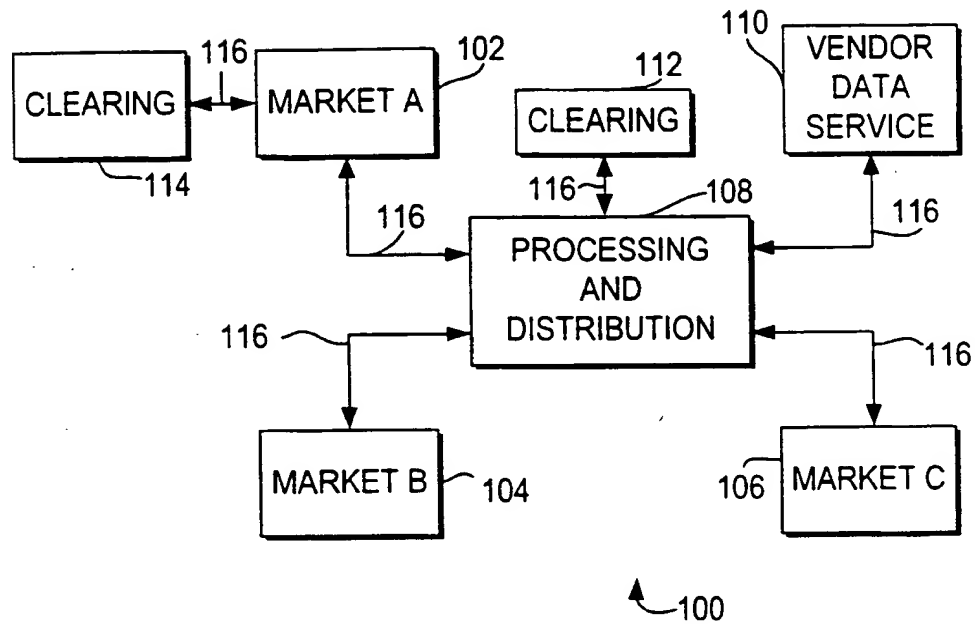


FIG. 1

2/32

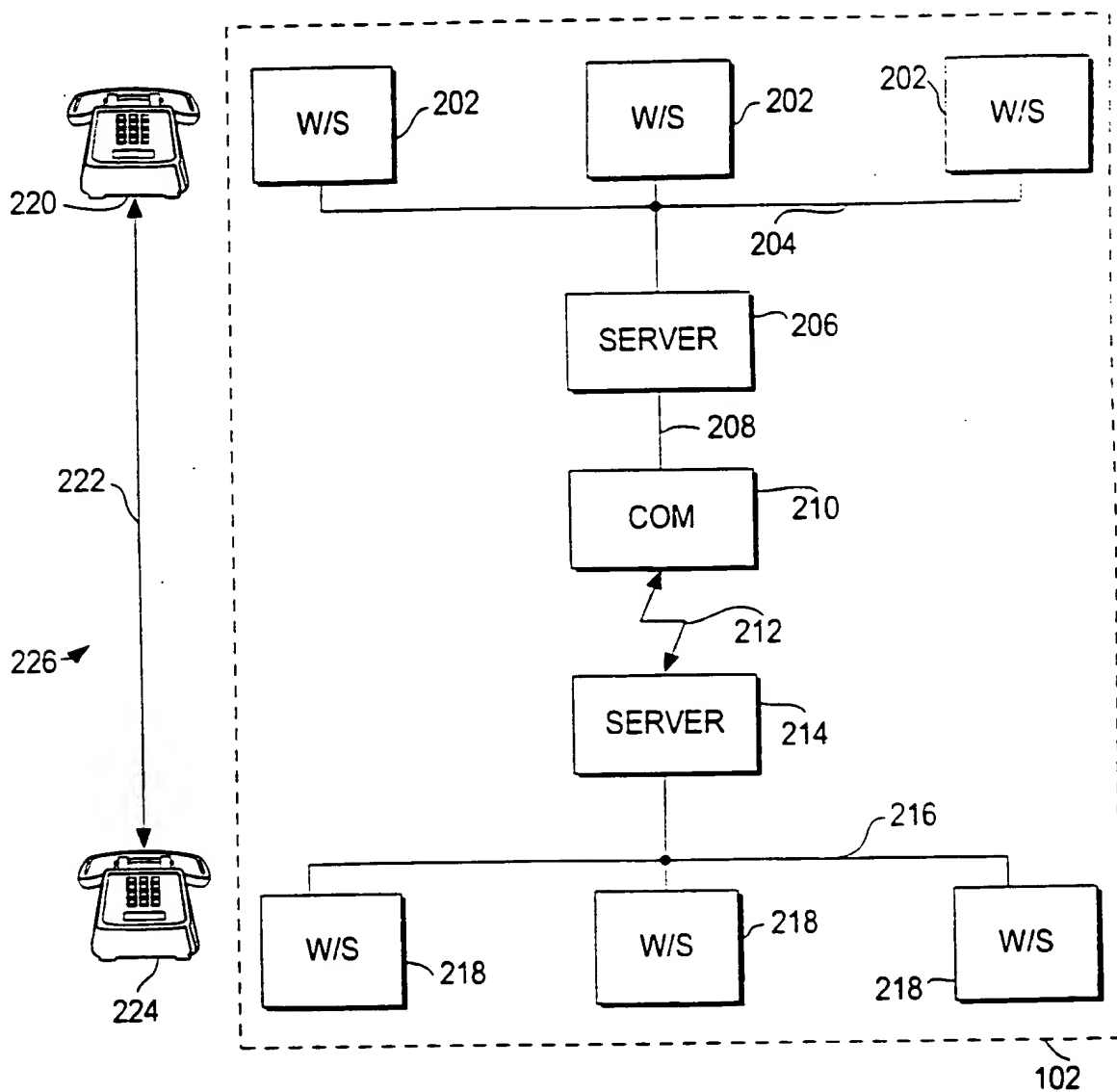
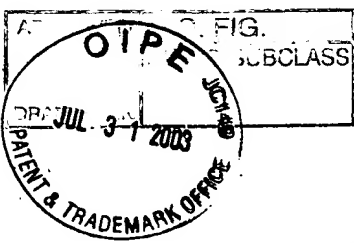


FIG. 2



3/32

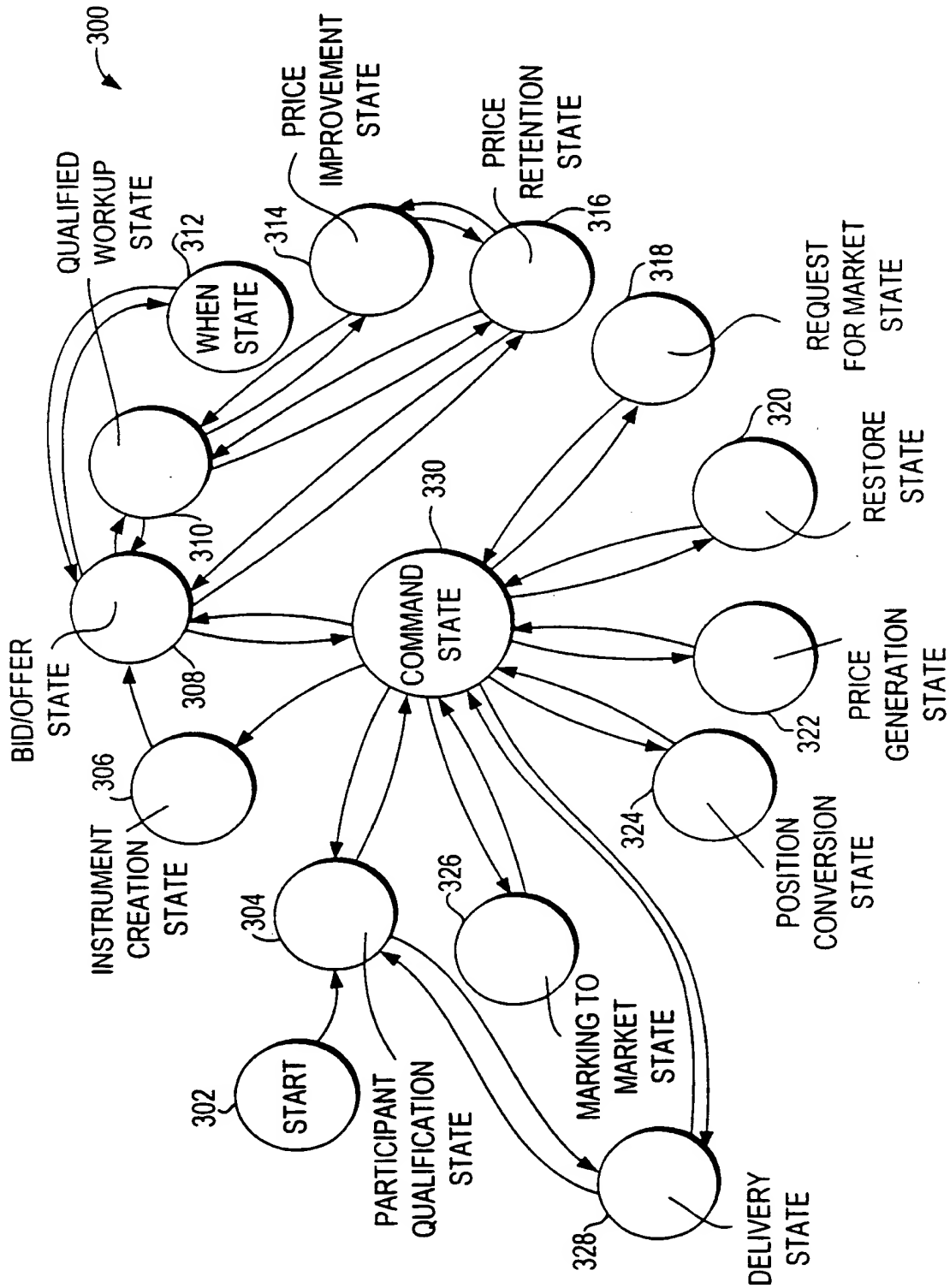
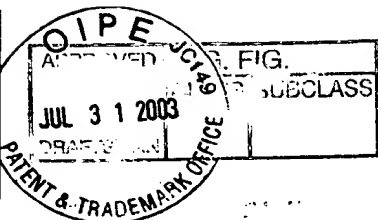


FIG. 3



4/32

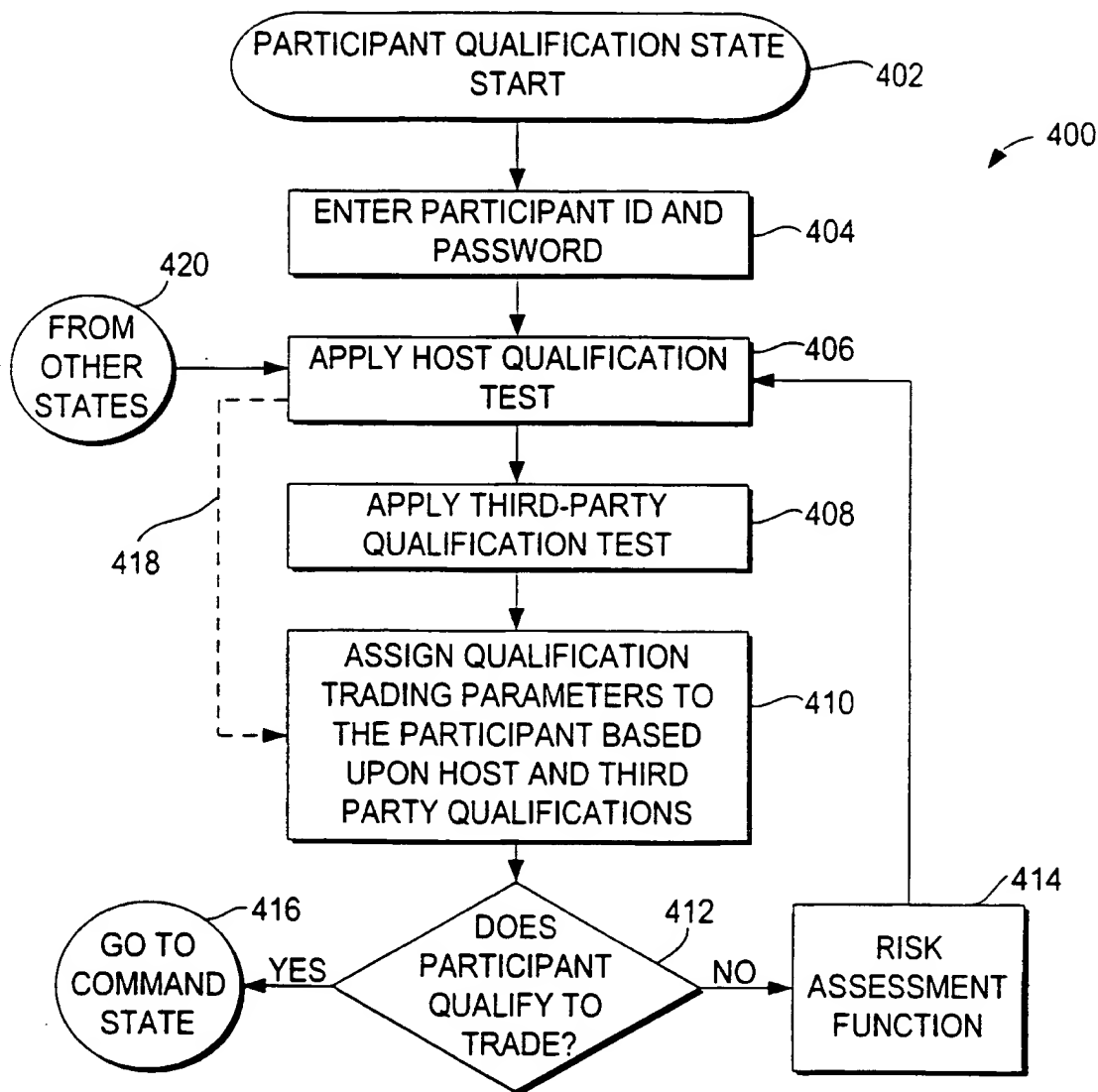


FIG. 4

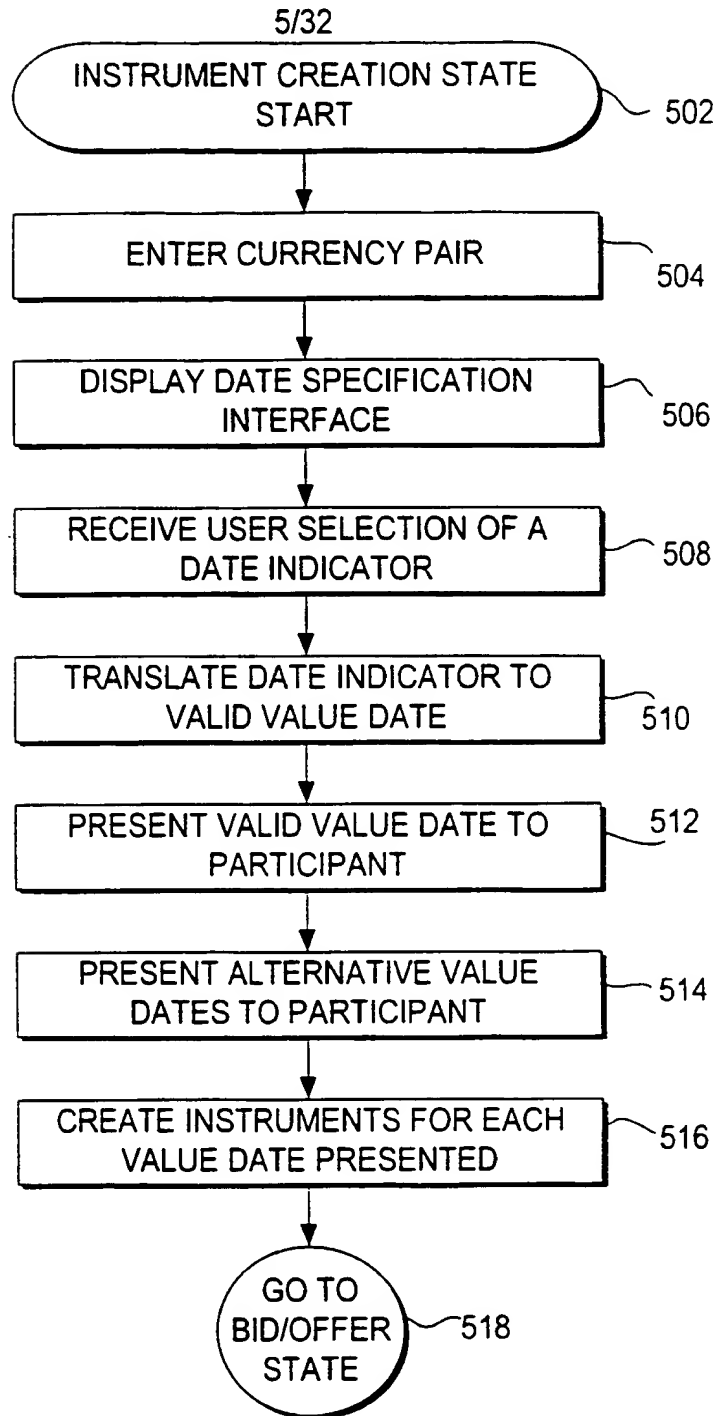
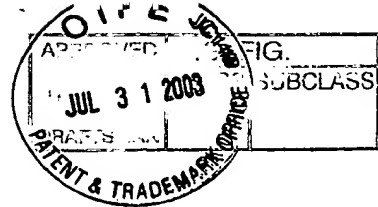
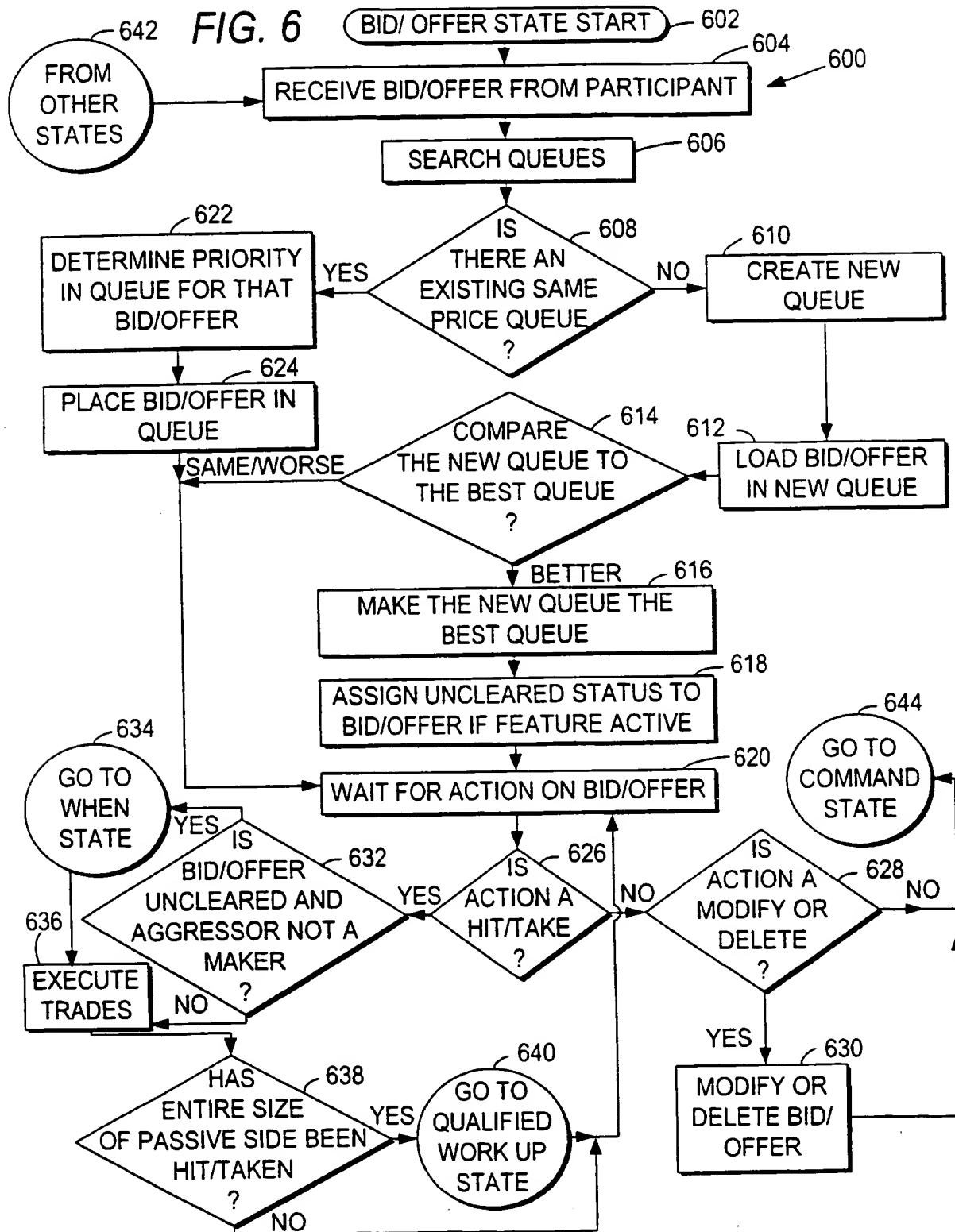


FIG. 5



6/32



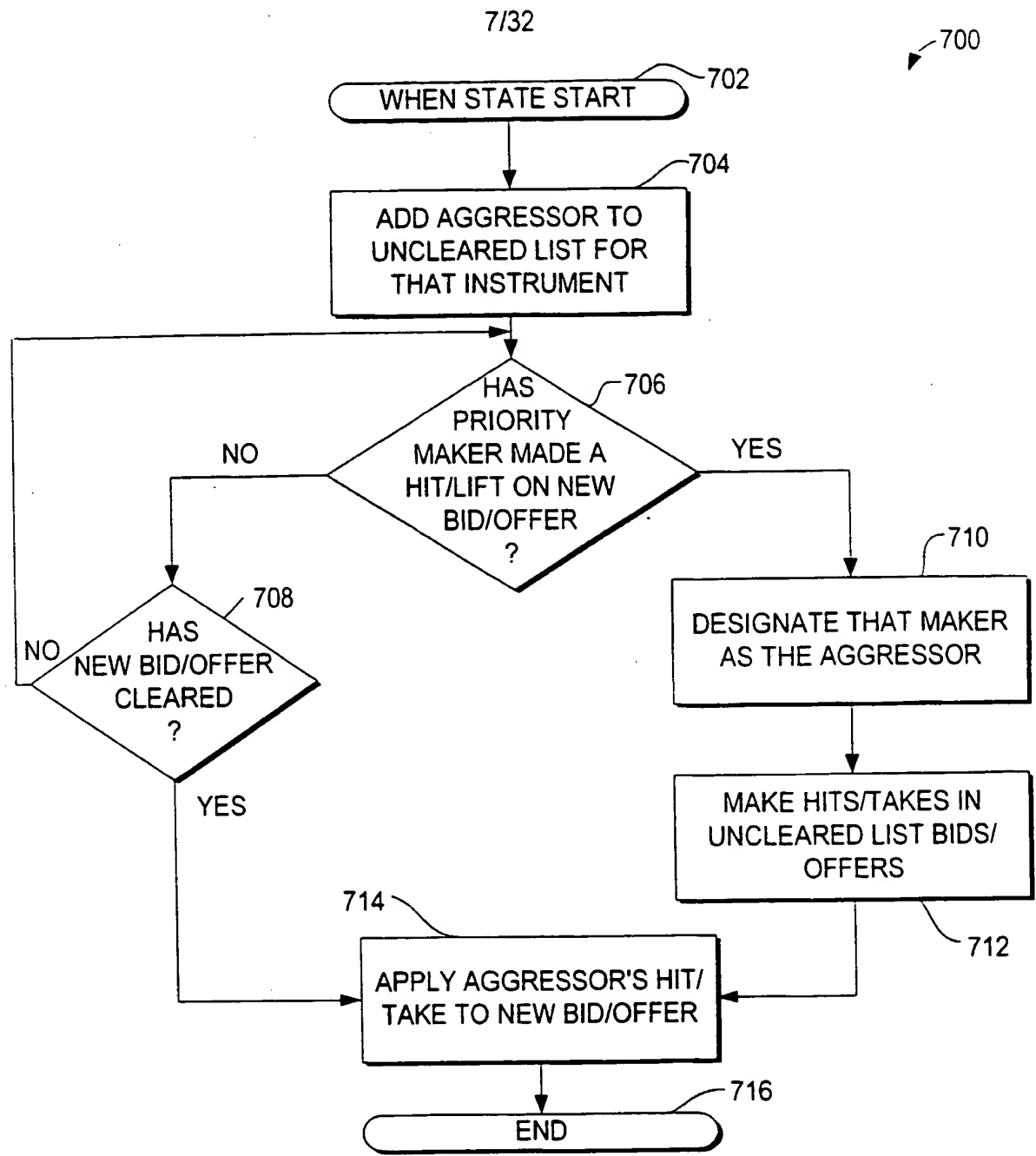
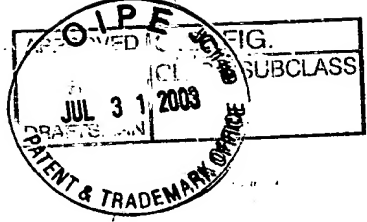


FIG. 7

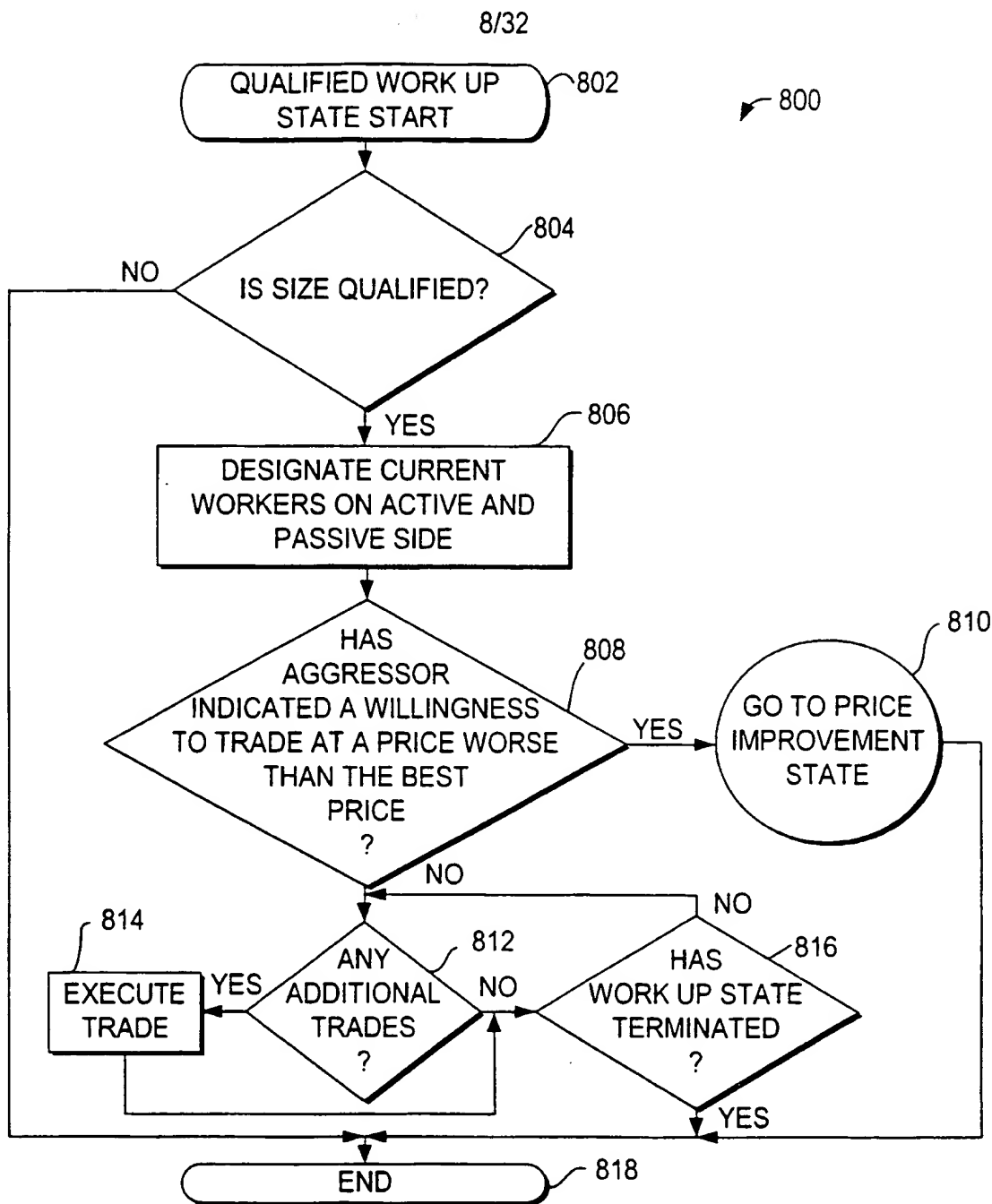


FIG. 8

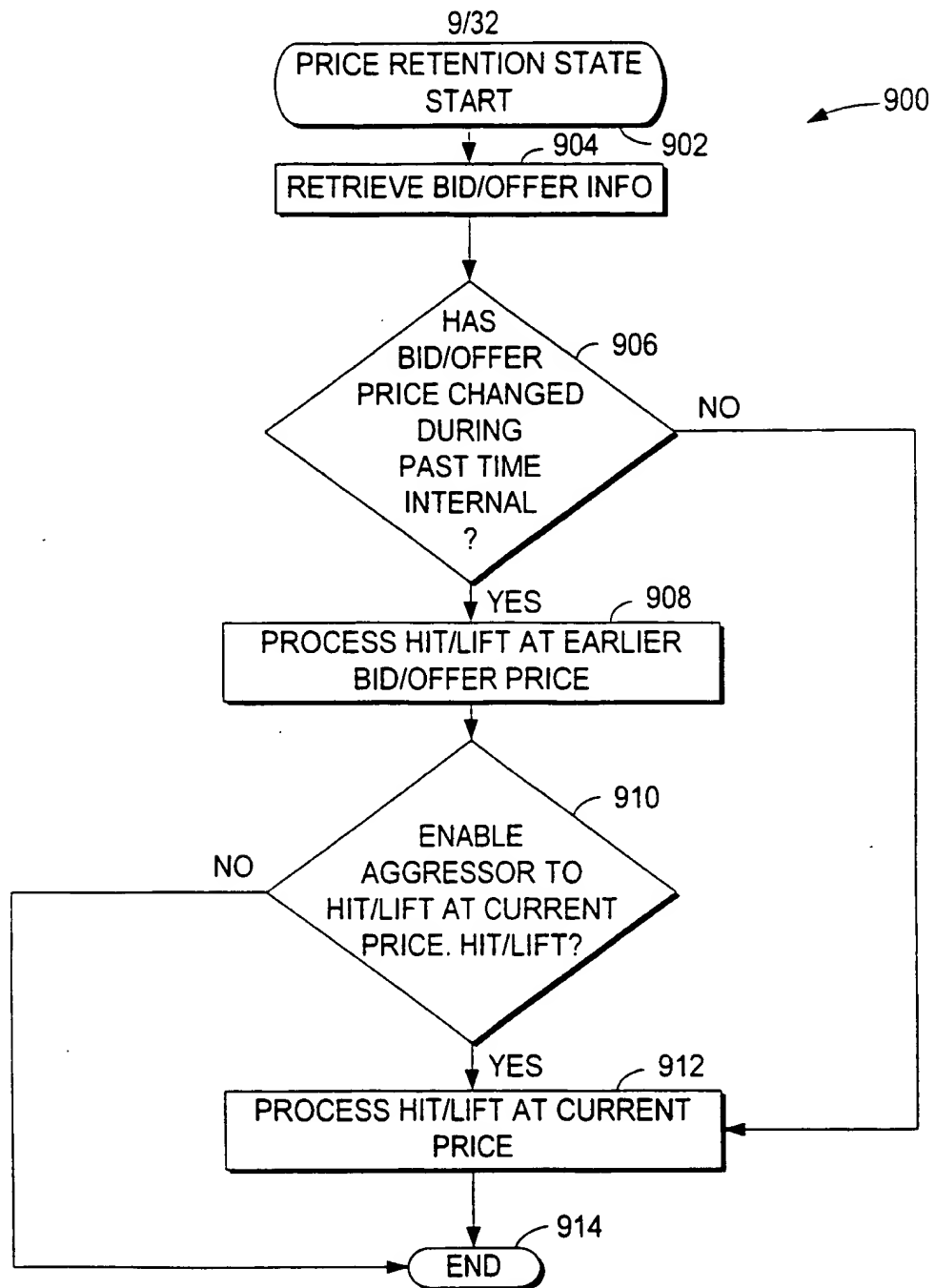
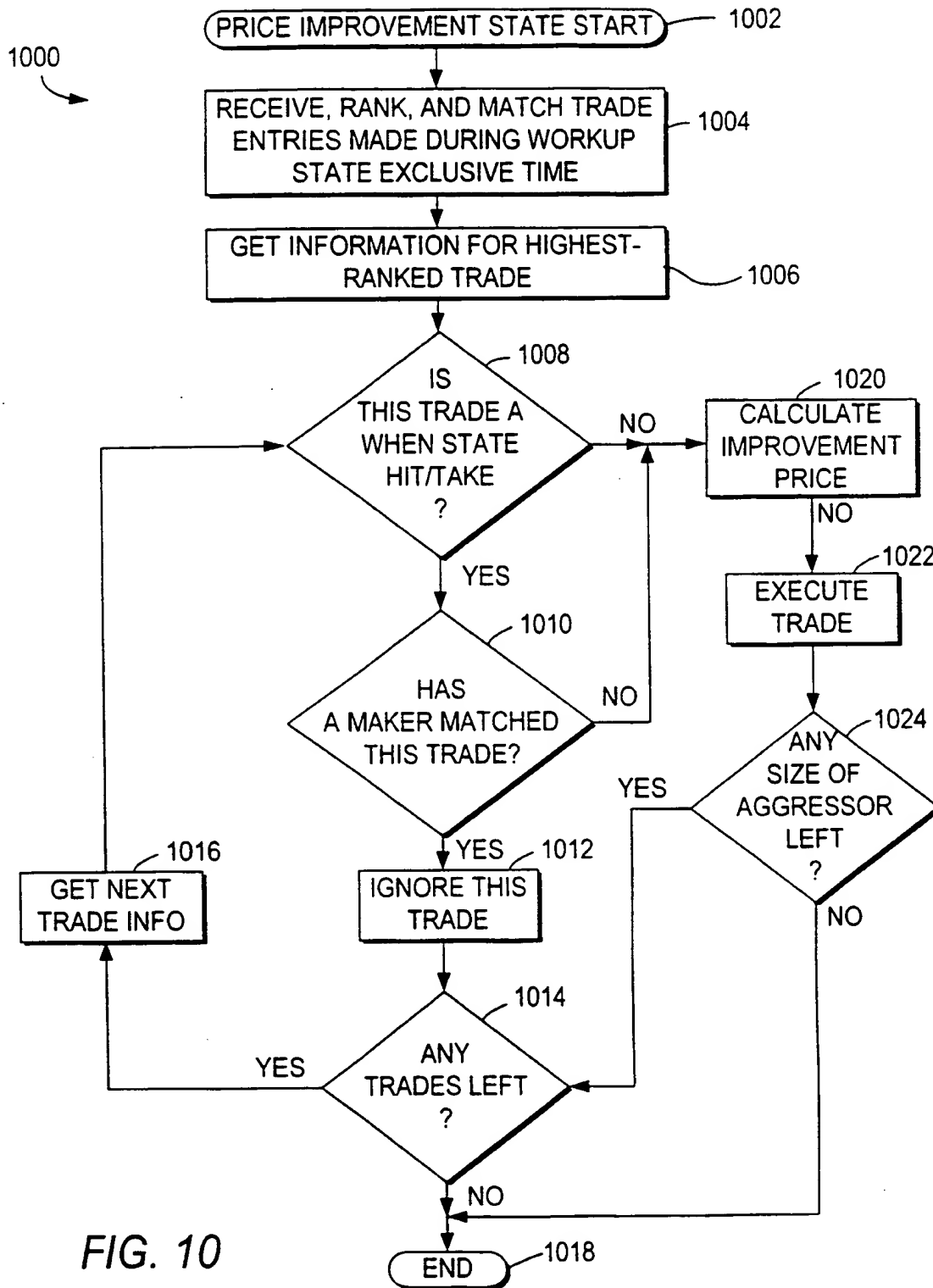


FIG. 9

10/32



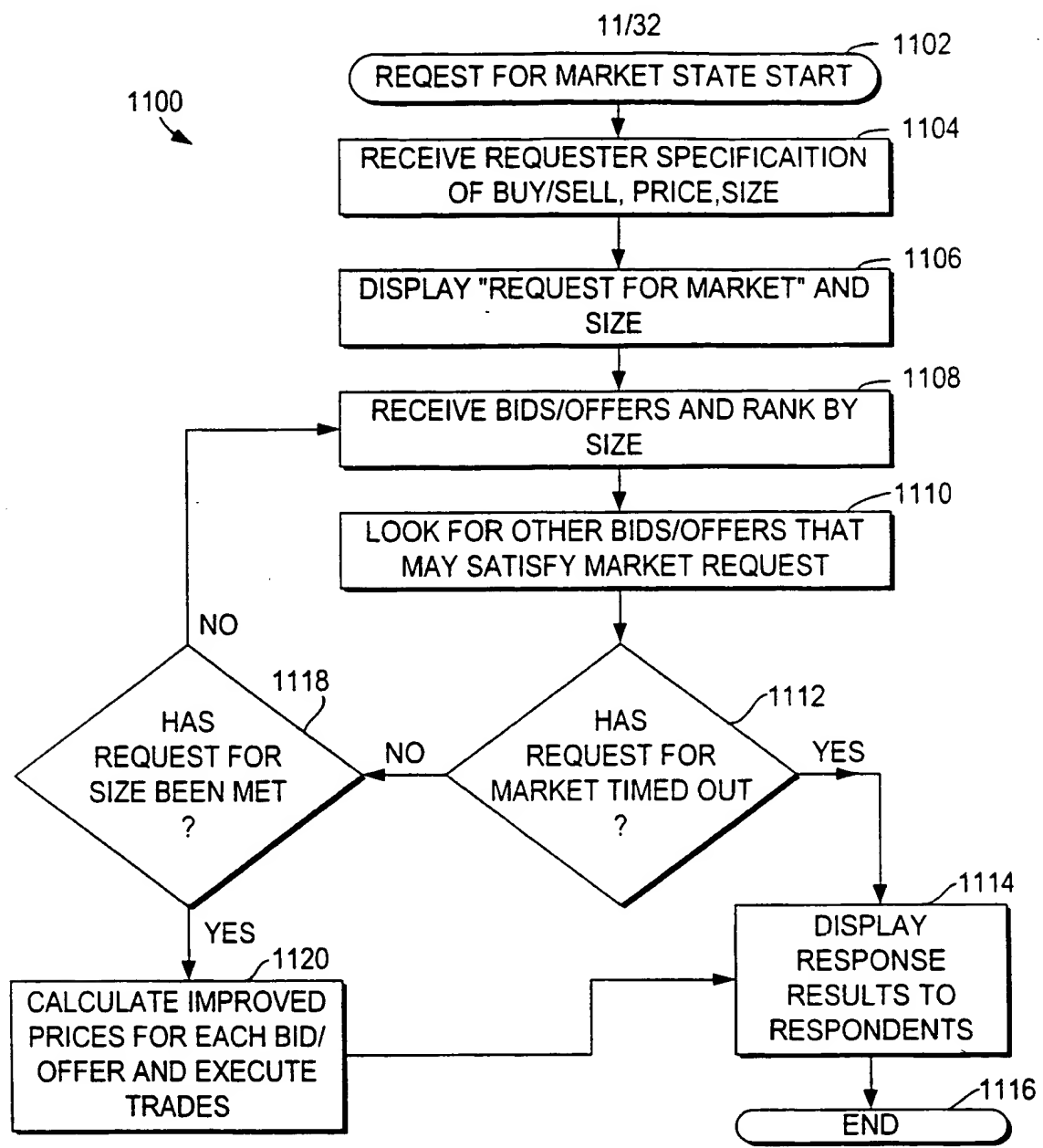
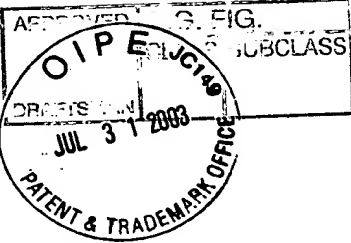


FIG. 11

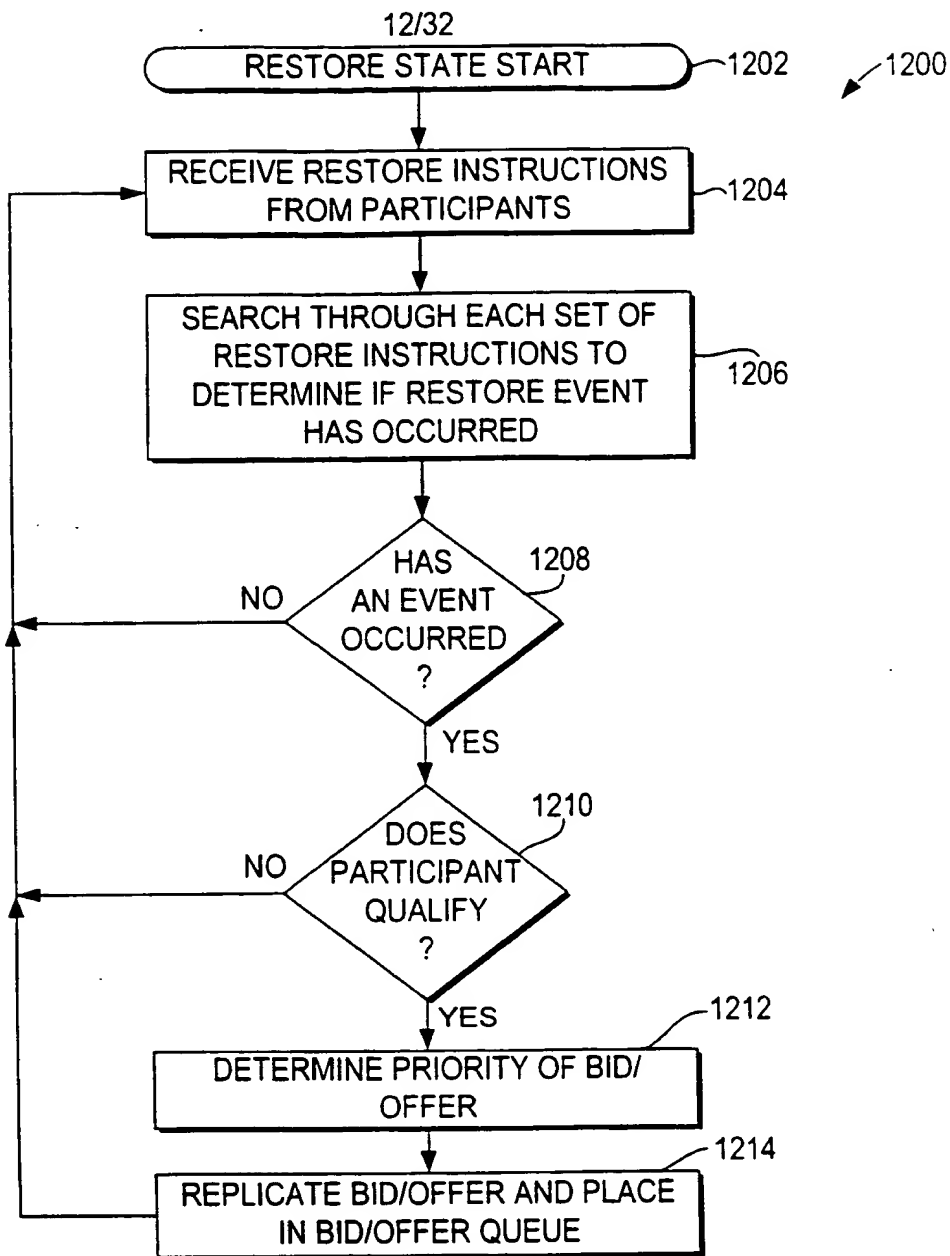
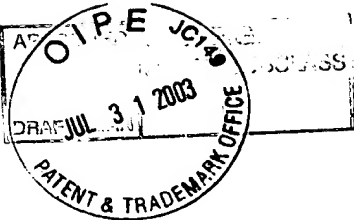
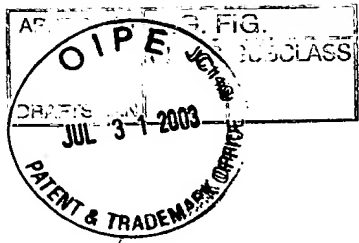


FIG. 12



13/32

1300

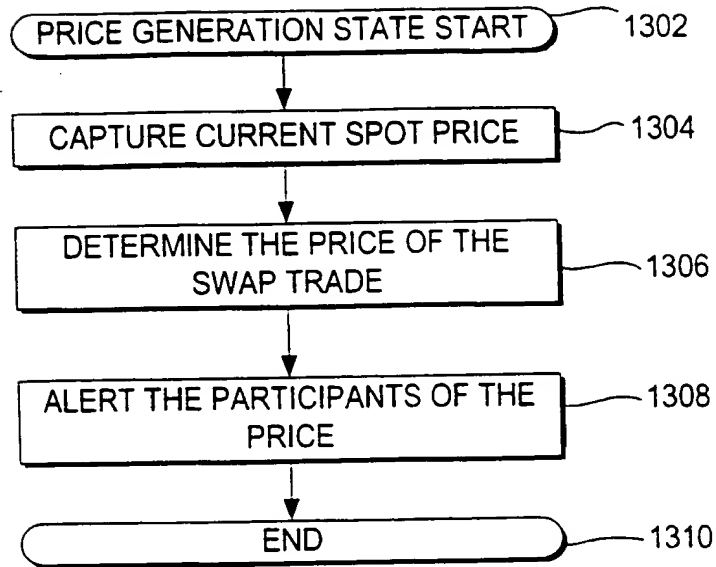
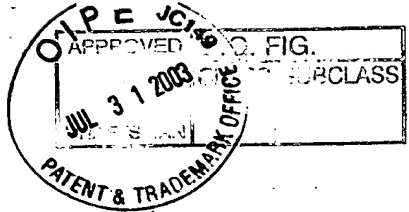


FIG. 13



14/32

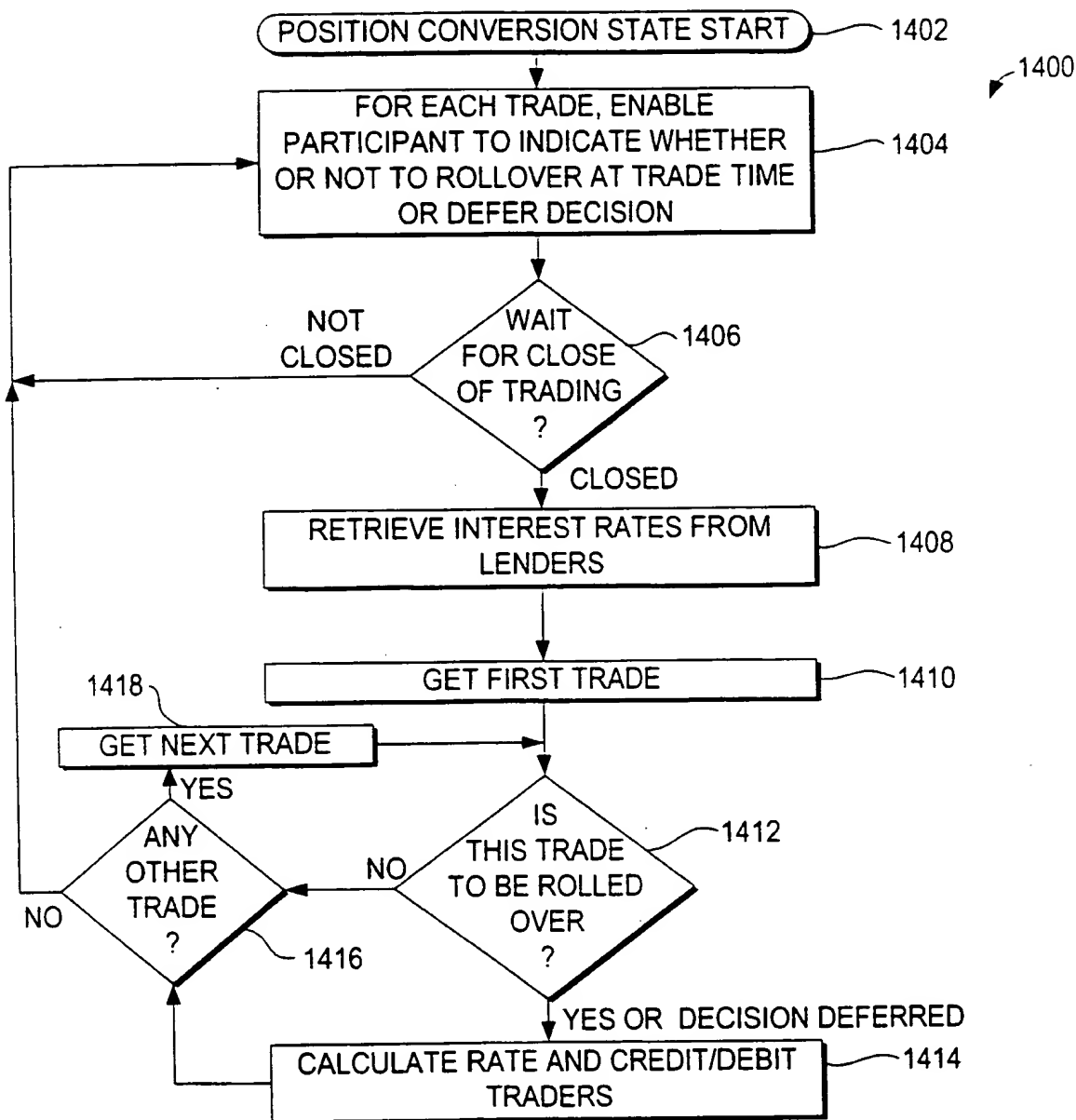


FIG. 14

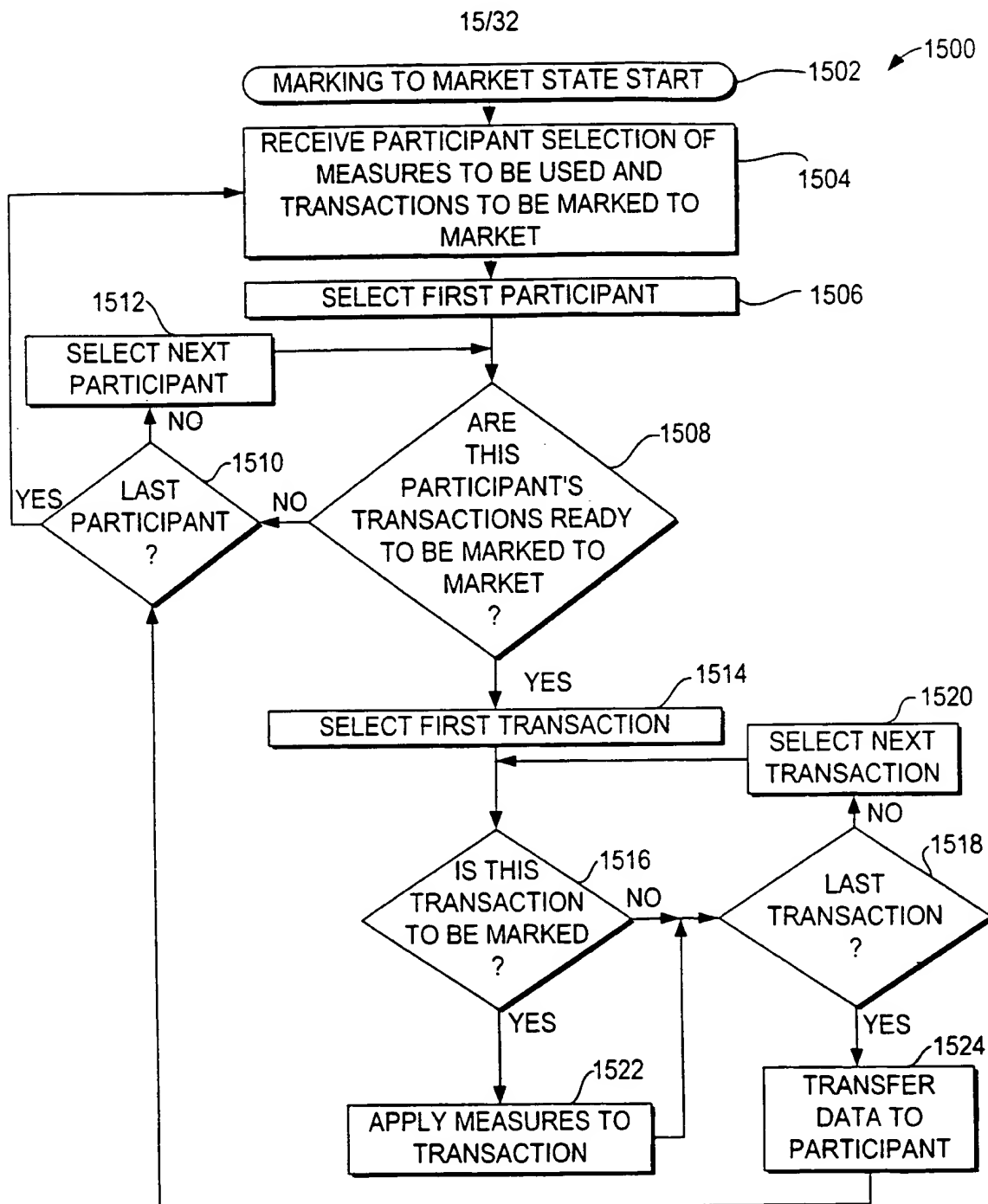
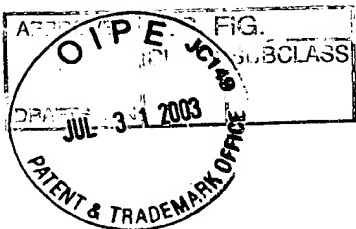
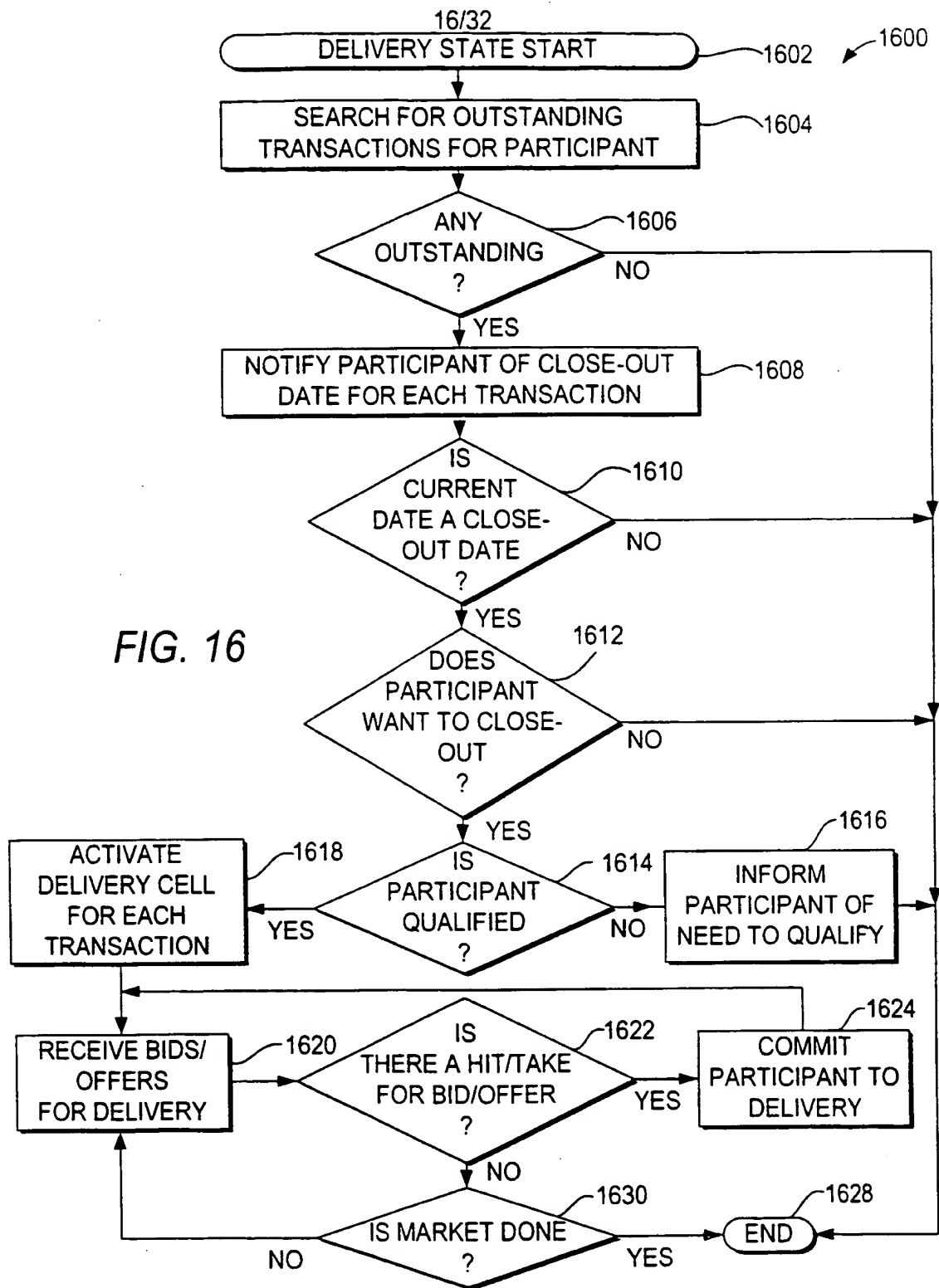
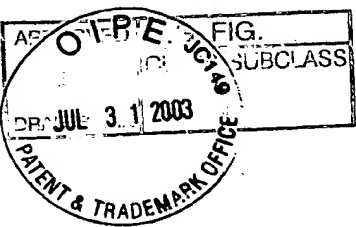
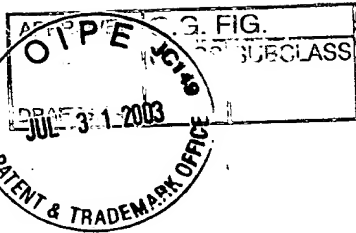


FIG. 15

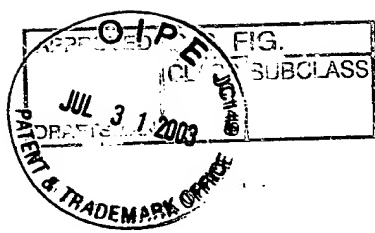




17/32

FIG. 17

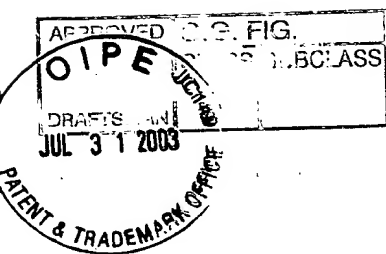
1700					
\$/YEN					
120.150			120.160		
1702			1704		
25			15		
CUST.	BID	BOT	CUST.	OFFER	SOLD
2001	10 1710	0	2007	5 1714	0
2002(200)	15 1712	0	2006	10 1716	0
TOTAL(200)	25 1706	0		15 1708	0



18/32

FIG. 18

1800								
1802	O/N	T/N	1WK	1MO	2MO	3MO	6MO	1YR
SPOT DATE 2/5		SWAP DATE 3/7		1804				
1808	1.6210 - 15	33 - 35	15 X 10					
SPOT DATE 2/5		SWAP DATE 3/5		1806				
1808	1.6210 - 15	30 - 32	10X25					

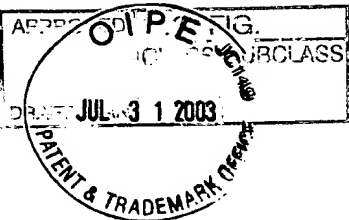


19/32

FIG. 19

1900

\$/YEN						
121.205			27	121.220		10
CUST.	BID	BOT	CUST.	OFFER	SOLD	
1904 — 3001	5	0	* 3007	10	0	
3002	1	0		1906		
3001	1	0				
3003	20	0				
	1902			1908		
TOTAL	27	0		10	0	



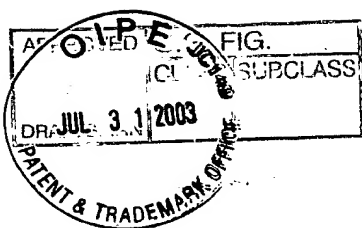
Applicants: Stuart A. Fraser et al. Docket No.: CF/002
Filed: 19, 2000 Appl. No.: 00/553,423
For: SYSTEMS AND METHODS FOR TRADING
Attorney: Joel Weiss, Reg. No. 44,398 Tele. No. 212-596-9000
Express Mail Label No.: EV242439368US Sheet 20 of 32

20/32

FIG. 20

2100

\$/YEN					
	121.205	20	*121.220	1	
CUST.	BID	BOT	CUST.	OFFER	SOLD
2102~3002	10	0	*3007	1	0
2104~3003	10	0			2106
TOTAL	20	0		1	0

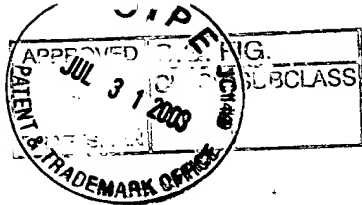


21/32

FIG. 21

2150

\$/YEN					
121.205		20	121.220		WTAK 1
CUST.	BID	BOT	CUST.	OFFER	SOLD
2156~3002	0	0	3007	1	0
2158~3003	0	0	2154		2106
2152~3001	1	0			
TOTAL	1	0		1	0



Applicants: Stuart A. Fraser et al.

Docket No.: CF/002

Filed April 19, 2000

Appl. No. 09/553,423

FIG. 22a
SYSTEMS AND METHODS FOR TRADING

Attorney: Joel Weiss, Reg. No. 44,398

Tele. No. 212-596-9000

Express Mail Label No.: EV242439368US

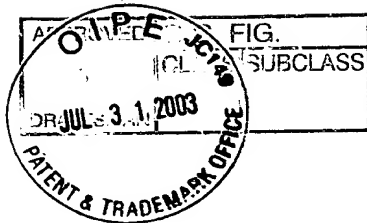
Sheet 22 of 32

22/32

FIG. 22a

2200

\$/YEN					
121.205		25	121.220		45
CUST.	BID	BOT	CUST.	OFFER	SOLD
2202~3001	5	0	3007	25	0
2204~3002	5	0	3006	10	0
2206~3003	10	0	3005	10	0
2208~3001	5	0			
TOTAL	25	0		45	0



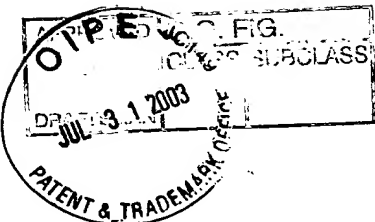
Applicants: Stuart A. Fraser et al. Docket No.: CF/002
Filed: 19, 2000 Appl. No. 58/553,423
For: SYSTEMS AND METHODS FOR TRADING
Attorney: Joel Weiss, Reg. No. 44,398 Tele. No. 212-596-9000
Express Mail Label No.: EV242439368US Sheet 23 of 32

23/32

FIG. 22b

2220

\$/YEN			REFNO 68119		
121.205	HIT	25	121.220	45	
CUST.	BUY	BOT	CUST.	SELL	SOLD
[3003	0	10]	[3005	0	25]
3002	0	5			
3001	0	10			
TOTAL	0	25		0	25

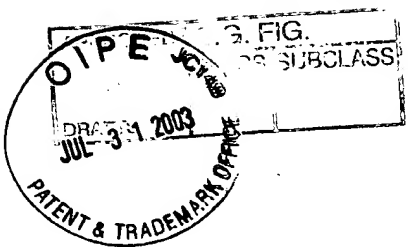


24/32

FIG. 22c

2240

REFNO 68119					
121.205	HIT	25			
CUST.	BUY	BOT	CUST.	SELL	SOLD
[3003	15 ²²⁴²	10 ²²⁴⁴	[3005	0 ²²⁴⁶	25]
3002	0	5	3004	50	0
3001	0	10			
TOTAL	15	25		50	25

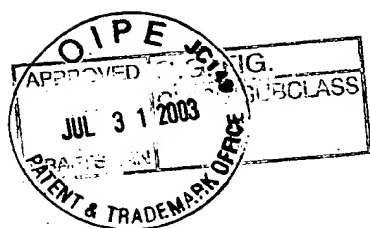


25/32

FIG. 22d

2260

\$/YEN			REFNO 68119		
121.205	HIT	40			
CUST.	BUY	BOT	CUST.	SELL	SOLD
[3003	0	25]	3005	0	35
3002	0	5	3004	45	5
3001	0	10			
TOTAL	0	40		45	40

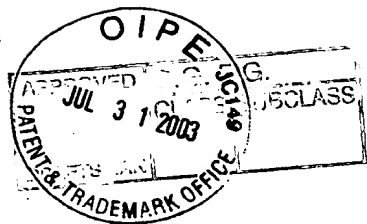


26/32

FIG. 23a

2300

\$/YEN			REFNO 68119		
	121.205	HIT	7		
CUST.	BUY	BOT	CUST.	SELL	SOLD
3001	0	5	2302	[3007	0 7]
3002	0	1	2304		
3003	0	1	2306		
[3004	121.100	10	0]		
TOTAL	10	7		0	7

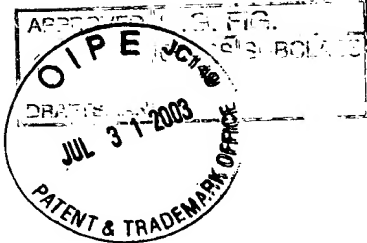


27/32

FIG. 23b

2350

\$/YEN		TZ		REFNO 68119	
120.100	HIT	10			0
CUST.	BUY	BOT	CUST.	SELL	SOLD
[3004	0	10]	[3007	15	17]
3001	0	5			
3002	0	1			
3003	0	1			
TOTAL	0	17		15	17

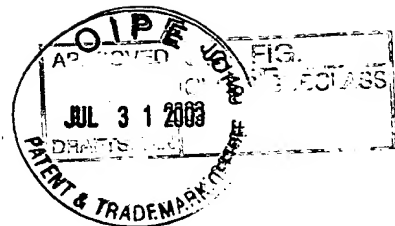


29/32

FIG. 24b

2430

124.20 TO .00 HIT 76						
	CUST.	BUY	BOT	CUST.	SELL	SOLD
124.30	2009	WT10				
124.20	2001	5	10	2008	14	76
	2002	WT5	1			
	TOTAL		11			
124.00	2005	0	20			
123.90	2012	0	45			
	TOTAL		76			



30/32

FIG. 24C

2470

[124] 45X00 20X.10 15X.20 10X.30 HIT						
	CUST.	BID	BOT	CUST.	OFFER	SOLD
124.30	2009		10	2008		10
	TOTAL		10			10
124.20	2001		14	2008		15
	2002		1			
	TOTAL		15			
124.00	2005		20	2008		20
	TOTAL		20			20
123.90	2012		45	2008		45
	TOTAL		45			45
TOTAL			90			90

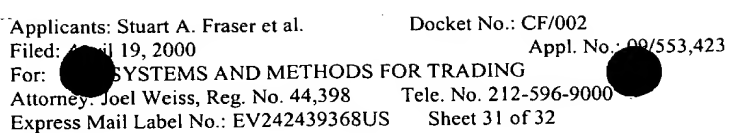


FIG. 25a

O/N	T/N	1WK	1MO	2MO	3MO	6MO	1YR
			[34-30]	3/5	28DAYS		
			[124.60-70]	2/5			
			[124.65]				

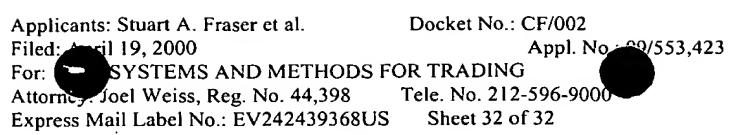


FIG. 25b

-2550

O/N	T/N	1WK	1MO	2MO	3MO	6MO	1YR
			HIT [34] [124.31]	3/5	28 DAYS		
			[124.65]				